Toward an Open Monograph Ecosystem (TOME)
formerly known as Open Access Monograph Publication Initiative Subvention (OAMPI)

Publication Subvention (Full) Proposal Guidelines

U-M is a charter participant in a subvention-funded digital monograph publishing initiative developed by a group of large US research universities. Participating institutions seek to advance the wide digital dissemination of humanities scholarship by providing academic book publishers sufficient funds to produce and make available online an open access version of the book. U-M's main goal in participating is to increase the engagement with U-M faculty members' humanistic research by communities beyond the Academy as well as within it. A signed contract between author and publisher is required to release funds, and only publishers that provide a high standard of peer-review and editorial intervention are eligible. No contribution is required from the faculty member or the department.

The pilot 5-year program will complement rather than replace the existing opportunity UMOR and LSA offer that contributes up to $5,000 (a partial subvention) to assist in the costs of traditional manuscript publication.

Submission Deadlines: October 15 and June 15

Overview: Open-access digital monographs will increase the presence of humanities and social science scholarship on the web, opening it up to new readers and putting book length content into the venue where so many scholars already are working and using other resources. Providing publishing costs at the front end through subventions and other revenue sources enables such open-access dissemination and use. This subvention-based funding model can strengthen the financial stability of monograph publishing and allow presses to publish important, high-quality scholarship independent of market constraints. This initiative will encourage authors and publishers to explore the integration of multimedia content into long-form texts, the application of annotation and commenting tools, and the development of innovative, new forms of digital scholarship.

Digital monographs supported through this initiative will share the following properties:

- Published by a press that maintains a high standard of peer review, editorial support, and marketing. All publishers who are members of the Association of American University Presses will be considered immediately eligible. Other publishers may be deemed eligible on a case-by-case basis, provided the author can supply a descriptive rationale, and the publisher can supply a detailed description of their peer review and editorial selection process.

- Published as open-access texts with a Creative Commons license applied, and deposited in the appropriate institutional (Deep Blue) and/or disciplinary repository.
- Presented in a digital format that is accessible and free of digital rights management (DRM) restrictions (EPUB 3 is preferred), with a print counterpart where possible and desirable.
- Funded by a maximum $15,000 subvention for a basic monograph of 90,000 words or less, with presses retaining rights to generate additional revenue to meet costs not covered by the subvention. For monographs exceeding the length or complexity of a basic monograph, further funds may be available on a case-by-case basis, provided the author can supply a descriptive rationale, and the publisher can supply a detailed justification of the reason for the additional costs. Generally this fund does not cover critical editions, edited anthologies, textbooks, or translations of previously published works. In the case of multiple authors, contributions from the institutions of the co-authors are encouraged.

Eligibility: Instructional and Research Faculty, Librarians, Curators, and Archivists (as defined by the University Bylaws, Section 5.01) may apply. While funded through LSA, faculty authors of humanities and humanistic social science books across the U-M system are eligible. Research Investigators, Research Fellows, Lecturers, and students are not currently eligible. Applicants are limited to one award during the five year pilot.

Evaluation criteria: A panel including faculty representation from LSA, the Institute for the Humanities, and the Library will review the proposals received and provide recommendations. The final decision will be made by the Associate Dean for the Humanities in LSA.

Key considerations during review include:

- Scholarly merit
- Value for the applicant's own research potential and progress
- Likely impact of this work on the field
- Likely impact of this work on communities outside the academy
- Benefit to, or potential to enhance, the scholarly distinction of U-M
- Appropriateness of the budget

All awards are subject to the availability of funds. $45,000 a year for five years is being made available in the pilot phase.

Proposal requirements: The following sections are required (unless otherwise noted) and must be included in this order:

A. Application Form (for all except LSA Faculty who must apply through eGIF)

a. All signatures must be obtained, but may be electronic or ink.

B. Proposal Narrative (3 pages)

a. Must contain a concise description of the publication.

b. Must describe significance of the publication in the discipline/field, for scholars beyond the discipline/field, and for communities outside the Academy.

c. Must describe how the work benefits from open access publication.

C. Budget (1 page)

a. Only costs incurred in the production of the publication are eligible.

b. The publisher should contribute information about the elements of cost, e.g., copyediting, design, indexing, permissions fees, marketing.

c. Requests beyond $15,000 will be subject to additional scrutiny during evaluation of proposals.

D. Budget Justification (2 pages)

a. This is a narrative explaining the expenses proposed and the basis for the cost estimates.

b. The publisher should assist in providing short explanations of the elements of cost.

E. Author's Abbreviated Curriculum Vitae (CV)/Biosketch (3 pages)

F. Letter of Interest from Publisher. Providing on letterhead articulating its review and editorial selection processes, confirming its capacity to produce and market an open access digital work to a high standard, and stating the level of support expected to make the work open access.

G. Appendix

a. Other pertinent items may be included. These may include a description of digital innovation, a marketing plan for the book designed to realize the potential of its open access availability.

Proposal Submission:

LSA Faculty must apply through the Gateway to Internal Funding (eGIF); all others should submit their proposals to LSAResearchOffice@umich.edu.

Proposals must be submitted by 5:00 PM (EST). One complete PDF (containing all required elements as detailed above) should be emailed. Received proposals will get a notice of receipt

in the form of a reply. Late applications will not be processed until the next application deadline for that program.

Award Notification:

Notification of funding decision is typically made within 8 weeks of the application deadline. Funding will be released on presentation of a signed publisher contract. Publishers are encouraged to use a version of the model publishing agreement connected to the initiative (http://www.modelpublishingcontract.org) and authors are encouraged to consult with the Library's Copyright Office before signing the agreement.

Award Administration:

General funds will be transferred to the set of chartfields designated by the recipient and/or administrator. The recipient is responsible for ensuring good stewardship of funds. All applicable University policies and procedures must be followed. A condition for successful completion of the award is that a final copy of the publication must be deposited in the Deep Blue institutional repository.

Questions?

Contact LSAResearchOffice@umich.edu with any questions related to OAMPI.

To be completed by all except LSA Faculty who should use eGIF.

Faculty Grants & Awards - OAMPI

Application Form

PROPOSAL SUBMISSION

One complete PDF (containing all required elements as detailed in the proposal guidelines) should be emailed to: LSAResearchOffice@umich.edu. Received proposals will be acknowledged in the form of a reply.

Project Information:

Funding Opportunity: ☐ Preliminary ☐ Bridging Research Maintenance ☐ Artistic Production/Performance	☐ Small Scale ☐	Resubmission? ☐ No ☐ Yes	Amount Requested:
Project Director:		Academic Rank:	
Uniqname:	Department ID:	Department Name:	
Campus Address: Building:		Room:	Zip:
Project Title:			
Project Period: FROM TO			

Collaborators:

1	
2	
3	
Name	Department

*Regulatory Compliance

If your research involves any of the following, indicate if you have **regulatory approval or if you need to apply for approval**. If approval is in progress, list the transaction number but leave the Approval Date column blank.	Compliance transaction number	Approval Date	Need to apply	Not Applicable
Human research, including research using identifiable personal data	HUM #:			
Human embryonic stem cells (hESC) or human induced pluripotent stem cells (iPSC)	HPSCRO #			
Biosafety considerations, including the use of: • Recombinant or synthetic nucleic acid molecules (rDNA or SNA) • Biological agents infectious or hazardous to humans, animals, or plants • Cadavers, human body parts, or human body substances (e.g., blood)	IBC #: Or IBCA #			

Vertebrate animals	PRO #:			
Export Control Technology or classified research, including data or information about export controlled items	TCP#:			
Controlled substances	CSR#			
The transfer of materials (e.g., biospecimens, etc.) and/or data between universities	MTA# or DUA#:			
Research Safety: If your research involves the following, indicate **the date of your safety inspection or the need to have one** from U-M Environmental, Health & Safety (EHS)			**Inspection date**	**Need an inspection**
Radioactive materials (non-human research)				
Unbound engineered nanoscale particles or nanofabrication technology				
Conflicts of Interest:			**Yes**	**No**
Do you or any of the investigators (or your/their family members) have an outside interest/relationship with a non-UM entity that is related to this research project (e.g. entity is sponsoring, entity's products are used, inventor of optioned/licensed IP being used, any work is subcontract to the entity, etc.)?				
If yes, will students work on this research project?				

Space:

Space and the necessary facilities are available	Building:	Room:

Budget:

	Department Support	School/ College Support	Other Support	**UMOR Request**	TOTAL BUDGET
Professional Salary/Fringe					
Student Salary/Fringe					
Equipment					
Supplies/Materials					
Travel/Hosting					
Other					
TOTAL					
Pending?	☐	☐	☐		

Suggested Peer Reviewers:

1 _____ _____ _____

2 _____ _____ _____

3 _____ _____ _____

       Name   Department   Em

Contacts:

Pre-Award Administrative Contact:	Uniqname:
Post-Award Administrative Contact:	Uniqname:

Signatures:

Project Director Printed	Chair/Unit Head Printed	Dean/Director Printed
Project Director Signature Date	Chair/Unit Head Signature Date	Dean/Director Signature Date